

10/25/01
11002 U.S. PTO

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| UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> | Attorney Docket No. | C01104/70002 RFG |
| | First Named Inventor or Application Identifier | |
| | C01104/70002 RFG | |
| | Express Mail Label No. | EL844534694US |
| Date of Deposit | | October 25, 2001 |

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| APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i> | ADDRESS TO: | Box Patent Application Commissioner for Patents Washington, DC 20231 |
| 1. <input type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> | 8. <input type="checkbox"/> CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix) | |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <input type="checkbox"/> Statement filed in prior application, Status still proper and desired. | 9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies | |
| 3. <input checked="" type="checkbox"/> Specification [Total pages 58] 50 - pages description 1 - pages abstract 7 - pages claims 43 - Total claims | ACCOMPANYING APPLICATION PARTS | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets: 20] <input type="checkbox"/> Informal <input checked="" type="checkbox"/> Formal [Total drawings: 1-20] | 10. <input type="checkbox"/> Assignment Papers/cover sheet & documents(s) | |
| 5. <input type="checkbox"/> Oath or Declaration [Total pages 0] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <i>[Note Box 6 below]</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney | |
| 6. <input type="checkbox"/> Incorporation by Reference <i>(usable if Box 5b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. See 19. | 12. <input type="checkbox"/> English Translation of Document <i>(if applicable)</i> | |
| 7. <input type="checkbox"/> Application Data Sheet, See 37 CFR 1.76 | 13. <input type="checkbox"/> Information Disclosure Statement PTO-1449 <input type="checkbox"/> Copies of IDS Citations | |
| | 14. <input type="checkbox"/> Preliminary Amendment | |
| | 15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> | |
| | 16. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> | |
| | 17. <input type="checkbox"/> Request and Certification Under 35 U.S.C. §122(b)(2)(B)(ii) | |
| 18. Other: This application claims the benefit under 35 U.S.C. §119(e) of the following U.S. provisional applications: Serial No. 60/243,250, filed October 25, 2000, entitled ILLUMINATION OF LIQUIDS; Serial No. 60/296,377, filed June 6, 2001, entitled SYSTEMS AND METHODS FOR CONTROLLING LIGHTING SYSTEMS; Serial No. 60/297,828, filed June 13, 2001, entitled SYSTEMS AND METHODS FOR CONTROLLING LIGHTING SYSTEMS; and Serial No. 60/290,101, filed May 10, 2001, entitled LIGHTING SYNCHRONIZATION WITHOUT A NETWORK. | | |

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of co-pending U.S. non-provisional application Serial No. 09/669,121, filed September 25, 2000, entitled MULTICOLORED LED LIGHTING METHOD AND APPARATUS, which is a continuation of U.S. Serial No. 09/425,770, filed October 22, 1999, now Patent No. 6,150,774, which is a continuation of U.S. Serial No. 08/920,156, filed August 26, 1997, now Patent No. 6,016,038.

This application also is a continuation-in-part (CIP) of each of the following co-pending U.S. non-provisional applications: Serial No. 09/215,624, filed December 17, 1998, entitled SMART LIGHT BULB; Serial No. 09/213,607, filed December 17, 1998, entitled SYSTEMS AND METHODS FOR SENSOR-RESPONSIVE ILLUMINATION; Serial No. 09/213,189, filed December 17, 1998, entitled PRECISION ILLUMINATION; Serial No. 09/213,581, filed December 17, 1998, entitled KINETIC ILLUMINATION; Serial No. 09/213,540, filed December 17, 1998, entitled DATA DELIVERY TRACK; Serial No. 09/333,739, filed June 15, 1999, entitled DIFFUSE ILLUMINATION SYSTEMS AND METHODS; Serial No. 09/344,699, filed June 25, 1999, entitled METHOD FOR SOFTWARE DRIVEN GENERATION OF MULTIPLE SIMULTANEOUS HIGH SPEED PULSE WIDTH MODULATED SIGNALS; Serial No. 09/616,214, filed July 14, 2000, entitled SYSTEMS AND METHODS FOR AUTHORIZING LIGHTING SEQUENCES; Serial No. 09/870,418, filed May 31, 2001, entitled METHODS AND APPARATUS FOR AUTHORIZING AND PLAYING BACK LIGHTING SEQUENCES; Serial No. 09/805,368, filed March 13, 2001, entitled LIGHT-EMITTING DIODE BASED PRODUCTS; Serial No. 09/805,590, filed March 13, 2001, entitled LIGHT-EMITTING DIODE BASED PRODUCTS; and Serial No. 09/870,193, filed May 30, 2001, entitled METHODS AND APPARATUS FOR CONTROLLING DEVICES IN A NETWORKED LIGHTING SYSTEM.

Prior application information:

Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

20. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER:



23628

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|-----------------|------------------------------------|-----------|----------------|-----|----------------|
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21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

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| NAME | Joseph Teja, Jr., Reg. No. 45,157 |
| SIGNATURE | |
| DATE | October 25, 2001 |